

## Scalable and Accurate Channel pruning

CNeRG talk series **Kiran Purohit** (20CS91R09)

Advisor: Prof. Sourangshu Bhattacharya

Dept. of Computer Science & Engineering
IIT Kharagpur



#### **Outline**

- 1. Introduction
- 2. Related Work
- 3. Accurate and Efficient Channel pruning via Orthogonal Matching Pursuit (AIMLSystems 2022)
  - a. Contribution
    - i. Proposed **FP-OMP** for pruning multiple Channels
    - ii. Proposed **FP-OMP-Search** for non-uniform pruning
  - b. Results and Analysis
  - c. Conclusion
- 4. A Hierarchical Approach to Non-Uniform Filter-Pruning for highly-efficient CNNs (AAAI 2023 submitted)
  - a. Contribution
    - i. Hierarchical approach for non-uniform pruning
    - ii. Proposed **HBGS** and **HBGTS** for layer selection
  - b. Results and Analysis
  - c. Conclusion

#### Introduction

Burden of CNNs
——ResNet-152

60.2 million parameters and 231MB storage spaces;

380MB memory footprint

11.3 billion float point operations (FLOPs).

Filter Pruning
——Benefits

reduces the storage usage

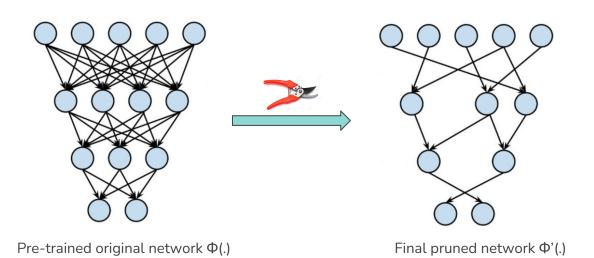
decreases the memory footprint

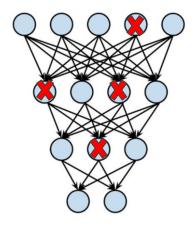
accelerates the inference

#### Introduction

#### **Network Pruning**

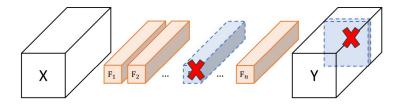
Given a pre-trained network  $\Phi$ (.), the goal is to compress the network while maintaining the high performance as much as possible by removing the unnecessary parameters.





Weights or Node Pruning

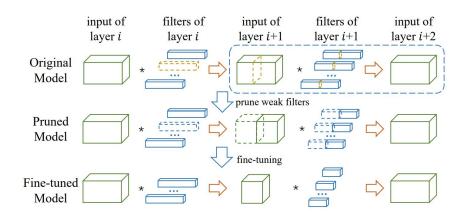
- Pruning applied to early DNN
- Check the importance of each weight or node
- Practical acceleration could not be achieved



## Filter or Channel Pruning

- Widely used for modern CNNs
- Remove the entire filter or channel at once
- Helps the practical acceleration of the network

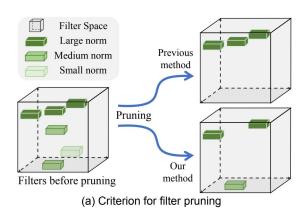
#### Thinet "ThiNet: A Filter Level Pruning Method for Deep Neural Network Compression" ICCV 2017



- Removes each channel one-by-one, and record the output feature map difference at each step.
- Selects the channel with the lowest difference.
- Greedy way of selecting channel.

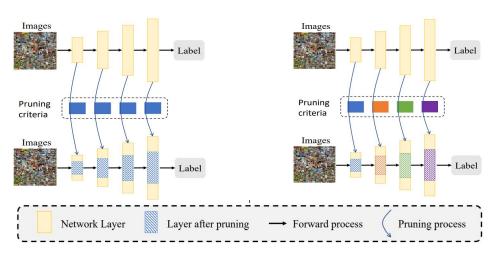
#### **FPGM**

"Filter Pruning via Geometric Median for Deep Convolutional Neural Networks Acceleration" CVPR 2019



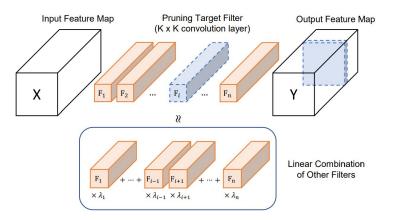
- Traditionally the filter weight, with small norm was regarded as less important filter.
- ❖ FPGM presents that filter with median norm is less important and can be removed

#### LFPC "Learning Filter Pruning Criteria for Deep Convolutional Neural Networks Acceleration" CVPR 2020



- Existing methods usually utilize pre-defined pruning criteria, such as &p-norm, to prune unimportant filters from each layer.
- LFPC adaptively select the appropriate pruning criteria for different layers.

#### LRF "Linearly Replaceable Filters for Deep Network Channel Pruning" AAAI 2021



LRF suggests that we can replace the filter that can be approximated by the linear combination of other filters

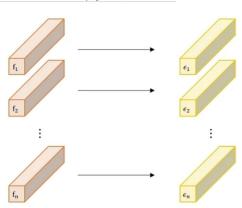
❖ In a layer, we can approximate each filter as a linear combination of the other filters

$$f_{:,j} = \sum_{l \neq j} \lambda_{j,l} f_{:,l} + \epsilon_j$$

Here,  $\epsilon$  = approximation error and  $\lambda_{i,l}$  = weight coefficient of the respective filters

ullet Each  $\lambda_{i,i}$  can be found by solving following minimization problem

$$\min_{\lambda_{j,:}} ||f_{:,j} - \sum_{l \neq j} \lambda_{j,l} f_{:,l}||^2$$



#### **Limitations of LRF**

- For pruning a layer by a given fraction  $\beta$ , one needs to prune  $\beta$  of the total number of filters in that layer. LRF does 1 epoch of SGD update each time after removing a filter. Hence, this approach is **slow**.
- LRF does not provide any optimality guarantee for the removed filter. Hence, this approach is **sub-optimal**.
- In order to speed up the pruning method:
  - We prune the filters of a layer for a given fraction together
  - Followed by just 1 epoch of SGD update

## Accurate and Efficient Channel pruning via Orthogonal Matching Pursuit

(AIMLSystems 2022)

#### Contribution

- Proposed **FP-OMP** for pruning multiple Channels
- Proposed **FP-OMP-Search** for non-uniform pruning

#### **Orthogonal Matching Pursuit**

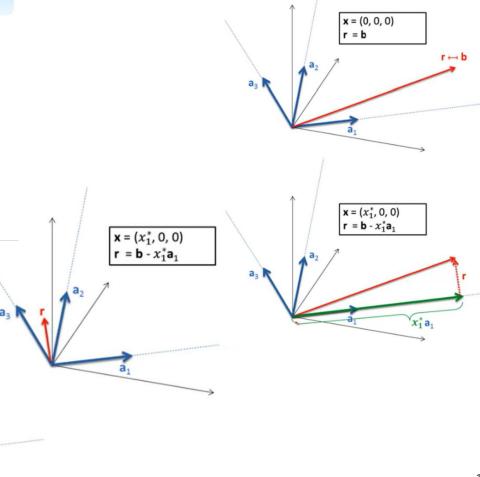
 $\min_{\mathbf{x}} \|A\mathbf{x} - \mathbf{b}\|_{2}$ subject to  $\|\mathbf{x}\|_{0} \le S$ 

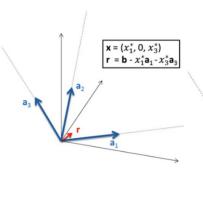
**Input:** A (with unit norm columns), b, and S. Initialize  $\mathbf{r} = \mathbf{b}$  and  $\Omega = \emptyset$ .

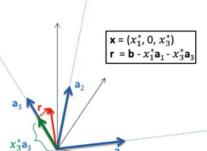
While  $\|\mathbf{x}\|_0 < S$ compute  $x_j = \mathbf{a}_j^T \mathbf{r}$  for all  $j \notin \Omega$  $i = \underset{j \notin \Omega}{\operatorname{argmax}} |x_j|$ 

 $\Omega \longleftarrow \Omega \cup \{i\}$  $\mathbf{x}_{\Omega}^* = argmin \|A_{\Omega}\mathbf{x} - \mathbf{b}\|_2^2$ 

 $\mathbf{r} \longleftarrow \mathbf{b} - A_{\Omega} \mathbf{x}_{\Omega}^*$ 







#### **FP-OMP** for Pruning Multiple Channels

We develop an Orthogonal Matching Pursuit (OMP) based algorithm for selecting retained filters of a layer into S. Hence filters that are to be pruned are {1,2,...n} \ S.

We can approximate the pruned filters in terms of retained filters.

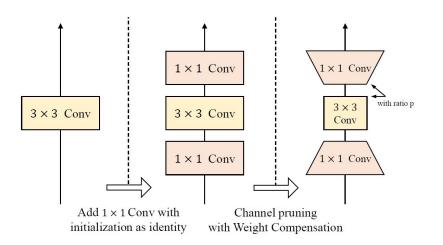
$$f_{:,j} = \sum_{l \in S} \lambda_{j,l} f_{:,l} + \epsilon_j, \forall j \not\in S$$

We pose a sparse approximation problem for finding **S** and  $\lambda$ 

$$S^*, \lambda^* = \mathrm{argmin}_{|S| \leq (1-\beta)n, \lambda} \sum_{j \in \{1, 2, \dots, n\}} ||f_{:,j} - \sum_{l \in S} \lambda_{j,l} f_{:,l}||^2$$

where S is the set of the selected/retained filters in a layer, n is the total number of filter in that layer, and  $\beta$  is the pruning fraction

#### **Weight Compensation**



- Before we prune a layer, we add 1x1 convolutional layer at the top and bottom.
- When we prune a filter, we modify the weight value of 1x1 convolutional layer appropriately.
- Then the loss change can be further reduced.

#### Weight compensation for multiple channel pruning

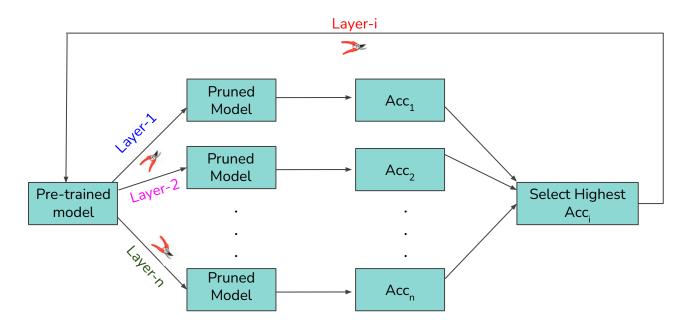
LRF had proposed the weight compensation module, for a single filter pruning, for two purposes:

- The difference in output feature map of the pruned and unpruned layer will get adjusted by the updation of weights of the 1x1 convolution.
- Usage of 1x1 convolution enables the pruning of any network, regardless of its architecture.

We adopt this module and derive the compensated weights as per our framework for multiple channel pruning.

For the output channel pruning, 
$$g'_{l,:} = g_{l,:} + \sum_{j \in S^c} \lambda_{j,l} * g_{j,:} \quad , \forall l \in S$$
 For the input channel pruning, 
$$g'_{:,l} = g_{:,l} + \sum_{j \in S^c} \lambda_{j,l} * g_{:,j} \quad , \forall l \in S$$

#### FP-OMP-Search for non-uniform pruning



#### **Experimental Results**

#### **Experimental Setting**

#### Model Used

- ResNet-32, Resnet-34, Resnet-56
- Optimizer Used- SGD, batch size- 128, loss function- cross entropy loss, 1 epoch fine tune after pruning one layer and 300 after complete pruning
- Activation function- Relu

#### **Performance Metric**

Pruned Accuracy, Accuracy Drop, Parameters Drop, FLOPs Drop, Total Time taken for Pruning and Fine Tuning

#### **Database Description**

- CIFAR-10 10 Classes, 50k training images, 10k test images
- CIFAR-100 100 Classes, 50k training images, 10k test images
- Tiny Imagenet 200 Classes, 0.1M images

#### **Results and Analysis**

Models	Method	Baseline Acc	Pruned Acc	Acc↓	Param↓	FLOPs ↓
	SFP [12]	92.63%	92.08%	0.55%	-	41.5%
	LFPC [11]	92.63%	92.12%	0.51%	31 <del>7</del> 3	52.6%
ResNet-32	FPGM [13]	92.63%	91.93%	0.70%	-	53.2%
ResNet-32	LRF [19]	92.63%	92.66%	-0.03%	63.3%	62.55%
	FP-OMP	92.63%	92.79%	-0.16%	63.3%	62.55%
	FP-OMP Search	92.63%	92.81%	-0.18%	58.58%	44.9%
	DCP [34]	93.80%	93.79%	0.01%	70.3%	47.1%
	HRank [22]	93.80%	93.17%	0.63%	42.4%	50.0%
	SFP [12]	93.80%	93.26%	0.54%	30 <del>7</del> 3	52.6%
ResNet-56	FPGM [13]	93.80%	93.49%	0.31%	-	52.6%
ResNet-56	LFPC [11]	93.80%	93.24%	0.56%	100	52.9%
	GBN [32]	93.80%	93.43%	0.37%	42.5%	55.1%
	LRF [19]	93.80%	93.85%	-0.05%	63.3%	62.55%
	FP-OMP	93.80%	94.03%	-0.23%	63.3%	62.55%
	FP-OMP Search	93.80%	94.08%	-0.28%	56.50%	43.32%

Table 1: Performance comparison of FP-OMP and FP-OMP Search for ResNet-32 and ResNet-56 on CIFAR-10 for 50% pruned filters of the network.

- We can clearly see that FP-OMP and FP-OMP Search outperform other pruning algorithms.
- The drop in params and flops is equivalent or more compared to other methods

Models	Method	Baseline Acc	Pruned Acc	Acc↓	Param↓	FLOPs ↓
	LRF [19]	68.78%	68.78%	-0.07%	62.5%	62.54%
ResNet-32	FP-OMP	68.78%	69.05%	-0.27%	62.5%	62.54%
	FP-OMP Search	68.78%	69.11%	-0.33%	50.72%	53.18%
	LRF [19]	69.98%	70.07%	-0.09%	63%	62.92%
ResNet-56	FP-OMP	69.98%	70.39%	-0.41%	63%	62.92%
	FP-OMP Search	69.98%	70.43%	-0.45%	50.72%	53.18%

Table 2: Performance comparison of FP-OMP and FP-OMP Search for ResNet-32 and ResNet-56 on CIFAR100 for 50% pruned filters of the network.

Models	Method	Baseline Acc	Pruned Acc	Acc↓	Param↓	FLOPs ↓
	LRF [19]	64.18%	62.86%	1.32%	62.79%	60.76%
ResNet-34	FP-OMP	64.18%	65.68%	-1.50%	62.79%	60.76%
	FP-OMP Search	64.18%	65.75%	-1.57%	51.67%	55.73%

Table 3: Performance comparison of FP-OMP and FP-OMP Search for ResNet-34 on TinyImagenet for 50% pruned filters of the network.

Models	Method	Pruning Time (hr)	Fine Tuning Time (hr)	Total Time (hr)
CIFAR10				
	LRF	0.58	3.43	4.01
ResNet-32	FP-OMP	0.54	3.41	3.95
	FP-OMP Search	13.63	(hr) Time (hr) Time (label of the label of t	17.08
ResNet-56	LRF	1.80	4.27	6.07
	FP-OMP	1.55	4.25	5.8
	FP-OMP Search	58.84	4.33	63.17
CIFAR100				
	LRF	0.60	3.38	3.98
ResNet-32	FP-OMP	0.48	3.37	3.85
	FP-OMP Search	12.97	3.39	16.36
	LRF	1.61	4.09	5.70
ResNet-56	FP-OMP	1.57	4.07	5.64
	FP-OMP Search	54.01	4.11	58.12

Table 4: Time comparison of different methods on ResNet for channel pruning on CIFAR-10 and CIFAR-100 dataset.

				Outpu	t Chann	el	D5	D5	221		72
	Layers	1	2	3	4	5	6	7	8	9	10
Block 1	Before/After	16/1	16/1	16/11	16/11	16/11	16/11	16/16	16/11	16/1	16/1
	Percent removed	93.75	93.75	31.25	31.25	31.25	31.25	0	31.25	93.75	93.75
	Layers	11	12	13	14	15	16	17	18	19	20
Block 2	Before/After	32/22	32/22	32/2	32/2	32/27	32/27	32/2	32/2	32/27	32/17
	Percent removed	31.25	31.25	93.75	93.75	15.62	15.62	93.75	93.75	15.62	46.87
	Layers	21	22	23	24	25	26	27	28	29	30
Block 3	Before/After	64/64	64/54	64/14	64/14	64/9	64/4	64/34	64/29	64/64	64/54
	Percent Removed	0	15.62	78.12	78.12	85.93	93.75	46.87	54.68	0	15.62
				Input	Channe	el					
	Layers	1	2	3	4	5	6	7	8	9	10
Block 1	Before/After	16/1	16/1	16/11	16/6	16/11	16/11	16/16	16/16	16/1	16/1
	Percent removed	93.75	93.75	31.25	62.5	31.25	31.25	0	0	93.75	93.75
16	Layers	11	12	13	14	15	16	17	18	19	20
Block 2	Before/After	16/16	32/17	32/2	32/2	32/37	32/27	32/7	32/2	32/17	32/17
*	Percent removed	0	46.87	93.75	93.75	0	15.62	78.12	93.75	46.87	46.87
	Layers	21	22	23	24	25	26	27	28	29	30
Block 3	Before/After	32/32	64/59	64/9	64/14	64/9	64/4	64/39	64/24	64/64	64/59
	Percent Removed	0	7.81	85.93	78.12	85.93	93.75	39.06	62.5	0	7.81

Table 5: Percentage removal of filters from each layer of ResNet-32 on CIFAR-100 dataset using FP-OMP Search method with overall 50% removal of filters from the ResNet32.

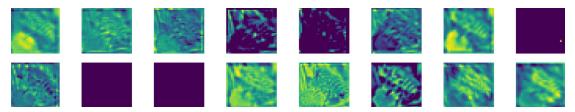


Figure: Visualisation of output feature map of ResNet-32 4th layer on CIFAR-100

Feature map of Layer 4 has a diverse set of filter outputs, indicates its usefulness in capturing different features of the inputs. Our FP-OMP Search prunes only 31.25% of its filters.

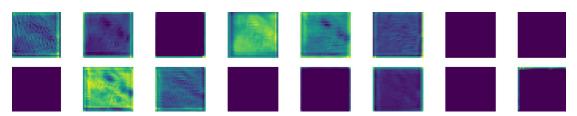


Figure: Visualisation of output feature map of ResNet-32 10th layer on CIFAR-100

Feature map outputs from Layer 10 looks very similar, denoting its redundancy in filter outputs. 93.75% of its filters are removed by FP-OMP Search.

#### **Conclusion**

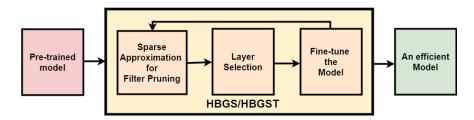
- We proposed FP-OMP and FP-OMP Search algorithms, a fresh and efficient channel pruning technique using a sparse approximation method.
- We performed extensive experiments on the 3 datasets with 2 different architectures.

## A Hierarchical Approach to Non-Uniform Filter-Pruning for highly-efficient CNNs

#### Contribution

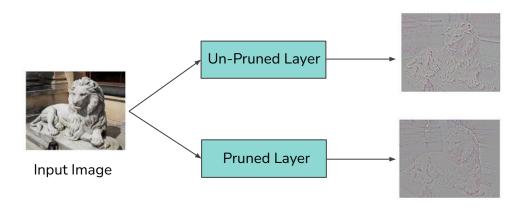
- We developed faster non-uniform pruning methods.
- We used a hierarchical scheme with two-levels:
  - filter pruning this step identifies the most appropriate filters to be pruned from each layer.
  - o layer selection this step selects the best layer to currently prune from.

We apply these two steps iteratively to achieve a non-uniform pruning.



#### **HBGS** for Layer Selection

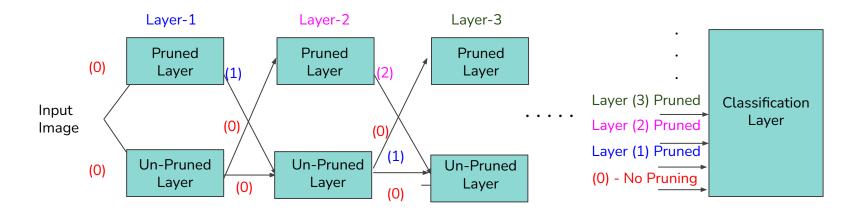
- We develop Hierarchical Backward Greedy Search (HBGS) for selecting the best layer to currently prune from.
- Key idea here is to calculate the relative reconstruction error between the pruned layer output and unpruned layer output
  - o and then finally choose the layer with minimum error to currently prune from.



We want to minimise the difference b/w the output feature maps of pruned and unpruned layers



- We develop Hierarchical Backward Greedy Tree Search (HBGTS) for selecting the best layer to currently prune from.
- Key idea here is to calculate the error in final layer output, if layer  $j \in \{1, ..., C\}$  is pruned
  - o and then finally choose the layer with minimum error to currently prune from.



#### Results and Analysis

		VGG16/CIFA	R100		ResNet18/CIFAR10				
Method	<b>Test Acc</b>	Acc ↓	Param ↓	<b>FLOPs</b> ↓	Test Acc	Acc ↓	Param ↓	<b>FLOPs</b> ↓	
Method	(%)	(%)	(%)	(%)	(%)	(%)	(%)	(%)	
Dense	$67.1 \pm 0.01$	$0 \pm 0$	-	-	$94.5 \pm 0.02$	$0 \pm 0$	-	-	
Random	$55.5 \pm 0.16$	$11.6 \pm 0.16$	98.0	86.0	$86.3 \pm 0.06$	$8.2 \pm 0.06$	93.7	75.0	
EarlyCroP-S (Rachwan et al. 2022)	$62.8 \pm 0.52$	$4.3 \pm 0.52$	97.9	88.0	$91.0 \pm 0.52$	$3.5 \pm 0.52$	95.1	65.8	
DLRFC (He et al. 2022)	$63.5 \pm 0.09$	$3.56 \pm 0.09$	97.1	53.7	-	-	-	-	
SAP (Diao et al. 2023)	-	-	-	-	$91.4 \pm 0.03$	$3.1 \pm 0.03$	94.9	64.9	
PL (Chen et al. 2023b)	$63.5 \pm 0.03$	$3.6 \pm 0.03$	97.3	87.9	-	-	-	-	
LRF (Joo et al. 2021)	$64.0 \pm 0.31$	$3.1 \pm 0.31$	97.9	88.0	$91.5 \pm 0.37$	$3.0 \pm 0.37$	95.1	65.8	
FP-OMP (Purohit et al. 2023)	$66.4 \pm 0.13$	$0.7 \pm 0.13$	97.9	88.0	$93.1 \pm 0.17$	$1.4 \pm 0.17$	95.1	65.8	
FP-Backward	$66.2 \pm 0.11$	$0.9 \pm 0.11$	97.9	88.0	$92.9 \pm 0.15$	$1.6 \pm 0.15$	95.1	65.8	
HBGS	$67.3 \pm 0.17$	$-0.2 \pm 0.17$	98.3	89.6	$93.9 \pm 0.24$	$0.6 \pm 0.24$	95.3	66.2	
HBGS-B	$67.2 \pm 0.15$	$-0.1\pm0.15$	98.1	89.4	$93.7 \pm 0.22$	$0.8 \pm 0.22$	95.2	66.0	
HBGTS	$67.8 \pm 0.23$	$-0.7 \pm 0.23$	98.5	89.8	$94.7 \pm 0.28$	$-0.2 \pm 0.28$	95.6	66.7	
HBGTS-B	$67.6 \pm 0.21$	$-0.5 \pm 0.21$	98.4	89.5	$94.6 \pm 0.24$	$-0.1\pm0.24$	95.4	66.5	

Table: Performance comparison between different pruning methods on VGG16/CIFAR100 at 98% parameter reduction and ResNet18/CIFAR10 at 95% parameter reduction

- We can clearly see that our methods outperform other pruning algorithms.
- The drop in params and flops is equivalent or more compared to other methods

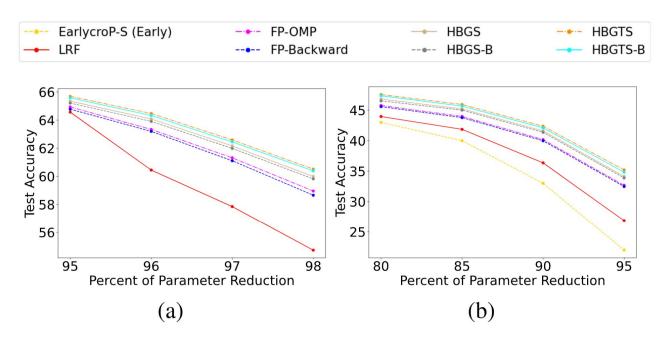


Figure: Test accuracy for (a) ResNet56/CIFAR100 and (b) ResNet18/Tiny-Imagenet with increasing parameter reduction

- We can clearly see that our methods outperform other pruning algorithms.
- As the percentage of parameter reduction increases, the difference in test accuracy between our proposed methods and state-of-the-art methods also grows.

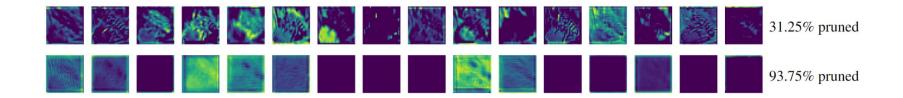


Figure: Visualisation of output feature map of ResNet32 2th layer (top row) and 10th layer (bottom row) on CIFAR100

- Feature map of Layer 2 has a diverse set of filter outputs, indicates its usefulness in capturing different features of the inputs. Our HBGST prunes only 31.25% of its filters.
- Feature map outputs from Layer 10 looks very similar, denoting its redundancy in filter outputs. 93.75% of its filters are removed by our HBGST method.

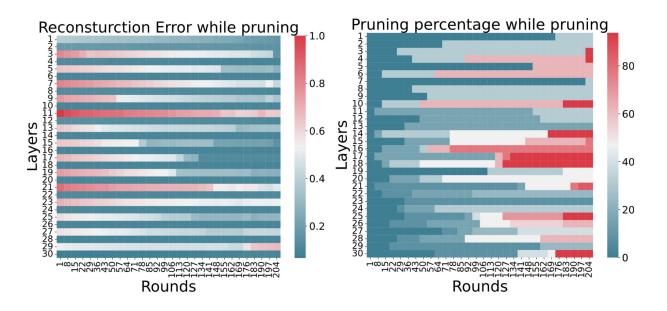


Figure: Heat map for relative reconstruction error and pruning percentage while pruning ResNet32 on CIFAR100 at 63% parameter reduction.

- Pruning percentage increases with each round, but not uniformly.
- Relative reconstruction error also decreases with pruning rounds but is not uniform across layers.
- Our method selects the layer with the least relative reconstruction error for pruning.

#### **Conclusion**

- We used a hierarchical scheme with two-levels for faster non-uniform pruning.
- Filter Pruning step identifies the most appropriate filters to be pruned from each layer.
- HBGS and HBGST algorithms selects the best layer to currently prune from.

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# THANK YOU FOR YOUR ATTENTION!!!



